



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Dean C. Alberson, et al.
Serial No.: 10/091,838
Filing Date: March 6, 2002
Group Art Unit: 3679
Title: **HYBRID ENERGY ABSORBING REUSABLE
TERMINAL**

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450

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Dear Sir:

**TRANSMITTAL OF VERIFIED STATEMENT
CLAIMING SMALL ENTITY STATUS**

A Verified Statement Claiming Small Entity Status under 37 C.F.R. 1.9(f) & 1.27(c)
Small Business Concern is transmitted herewith for filing in the above-identified patent
application.

Respectfully submitted,
BAKER BOTTS L.L.P.
Attorneys for Applicant

Luke K. Pedersen
Registration No. 45,003

Date: 3/29/04

CORRESPONDENCE ADDRESS:

2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(214) 953-6655

Customer No.: **05073**
Attorney Docket No.: 017575.0922 (TAMUS 1634)



Applicant or Patentee:
Serial or Patent No:
Filed or Issued:
Title:

Dean C. Alberson, et al.
10/091,838
March 6, 2002
HYBRID ENERGY ABSORBING REUSABLE TERMINAL

Attorney Docket No.
017575.0922 (TAMUS 1634)

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a)(3) - NONPROFIT ORGANIZATION)**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: THE TEXAS A&M UNIVERSITY SYSTEM
ADDRESS OF NONPROFIT ORGANIZATION: 3369 TAMU, College Station, Texas 77843-3369

TYPE OF NONPROFIT ORGANIZATION:

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA

(NAME OF STATE:)
(CITATION OF STATUTE:)

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
IF LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA

(NAME OF STATE:)
(CITATION OF STATUTE:)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention entitled **HYBRID ENERGY ABSORBING REUSABLE TERMINAL** by inventors Dean C. Alberson, D. Lance Bullard, Jr., Christopher J. Karpathy and John F. Carney, III described in:

☐ the specification filed herewith.

☒ Application Serial No. 10/091,838 filed March 6, 2002

☐ patent no. , issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME:
ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME:
ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:
TITLE IN ORGANIZATION OF PERSON SIGNING:
ADDRESS OF PERSON SIGNING:

R. Page Heller
Interim Executive Director of the Technology Licensing Office
3369 TAMU
College Station, Texas 77843-3369

SIGNATURE: 

DATE: 3/4/04

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Attorney Docket No.
017575.0922 (TAMUS 1634)

Patent Application
Serial No. 10/091,838

MAR 29 2004

U.S. TRADEMARK OFFICE

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Examiner: Ryan M. Flandro
Title: **HYBRID ENERGY ABSORBING REUSABLE
TERMINAL**

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Revocation of Attorney and Appointment of New Attorneys for Non-Provisional Application with Certification Under 37 CFR 3.73(b) (2 pages); Transmittal of Verified Statement Claiming Small Entity Status (1 page), executed Verified Statement Claiming Small Entity Status (1 page), acknowledgment return postcard (1 postcard), and this Certificate of Mailing is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 29th day of March, 2004, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Willie Jiles

Willie Jiles

Express Mail Receipt
No. EV 324644895 US
Docket No. 017575.0922 (TAMUS 1634)

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